

<<议论文体中的抽象实体回指>>

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前言

目前国内外对于回指的研究主要是名词性回指。不同的研究者从不同的角度对回指现象进行了研究，如句法、语义、语用、认知、心理以及人工智能。

句法和语义研究主要集中在回指的句法和语义特征上。语言中的结构制约使得不同类型的名词性词语有不同的句法分布和语义解释。句法和语义研究者总是用简洁精确的形式阐述这些制约规则。此类研究的局限性在于仅仅分析句内回指，而实际上大多数回指表现在句与句之间，即篇章回指。其使用和理解受语用和认知因素的影响。语用和认知研究主要说明回指语类型的选择受篇章条件的制约，可能具有某些特殊的语用含义。这些研究只局限于回指语的使用方面，很少涉及回指语的理解以及语境对回指理解和篇章理解的作用。

回指的心理研究和人工智能研究则侧重于回指的理解。

以上这些研究主要针对名词性回指，其研究结果无法适用于命题和概念做先行语的回指，即抽象实体回指。目前对于抽象实体回指的部分现象学者略有局部或零散的提及。如Chu（1998）区分了广义回指和狭义回指，把动词回指、副词回指和小句回指归入广义回指；许余龙（2002）给回指分类时也提到这种回指；Cornish（1986）和Webber（1988）也扼要地分析过句子回指；Kamp（1981）的“语篇表征理论（DRT）”从动态的角度研究抽象实体回指中的个别现象。不过这些学者只是在研究具体事物回指时偶尔提及属于抽象实体回指的一些现象，并未对此做过深入系统的研究。当前只有Asher（1993）提出的“语段表征理论（SDRT）”专门用于抽象实体的回指解决。

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内容概要

《议论文体中的抽象实体回指》的创新之处在确定了抽象实体回指是一种独立于其他回指的形式。将这种现象作为一种特殊类别的回指处理，研究其语用特征及理解规律，研究方式在采用实证与思辨的有机结合的基础上也有创新，不仅对英语中的抽象实体回指和具体事物回指进行定性与定量分析和比较，而且对汉语中的回指现象也进行了系统的分析比较，侧重思辨，辅以实证，并基于语料中对SDRT理论进行质疑与改进，提出新的解决办法。

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章节摘录

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